

# **A Verified Compiler for an Impure Functional Language**

Adam Chlipala  
Harvard University  
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What are the engineering principles that make **compiler verification** worth doing in the **real world**?

In particular, for **higher-order languages**, which have tricky binder issues

# From Mini-ML to Assembly

## Source language

$e ::= c \mid e = e \mid x \mid e e \mid \mathbf{fix} f(x). e$   
|  $\mathbf{let} x = e \mathbf{in} e \mid ()$   
|  $(e, e) \mid \mathbf{fst}(e) \mid \mathbf{snd}(e) \mid \mathbf{inl}(e) \mid \mathbf{inr}(e)$   
|  $\mathbf{case} e \mathbf{of} \mathbf{inl}(x) \Rightarrow e \mid \mathbf{inr}(x) \Rightarrow e$   
|  $\mathbf{ref}(e) \mid !e \mid e := e$   
|  $\mathbf{raise}(e) \mid e \mathbf{handle} x \Rightarrow e$

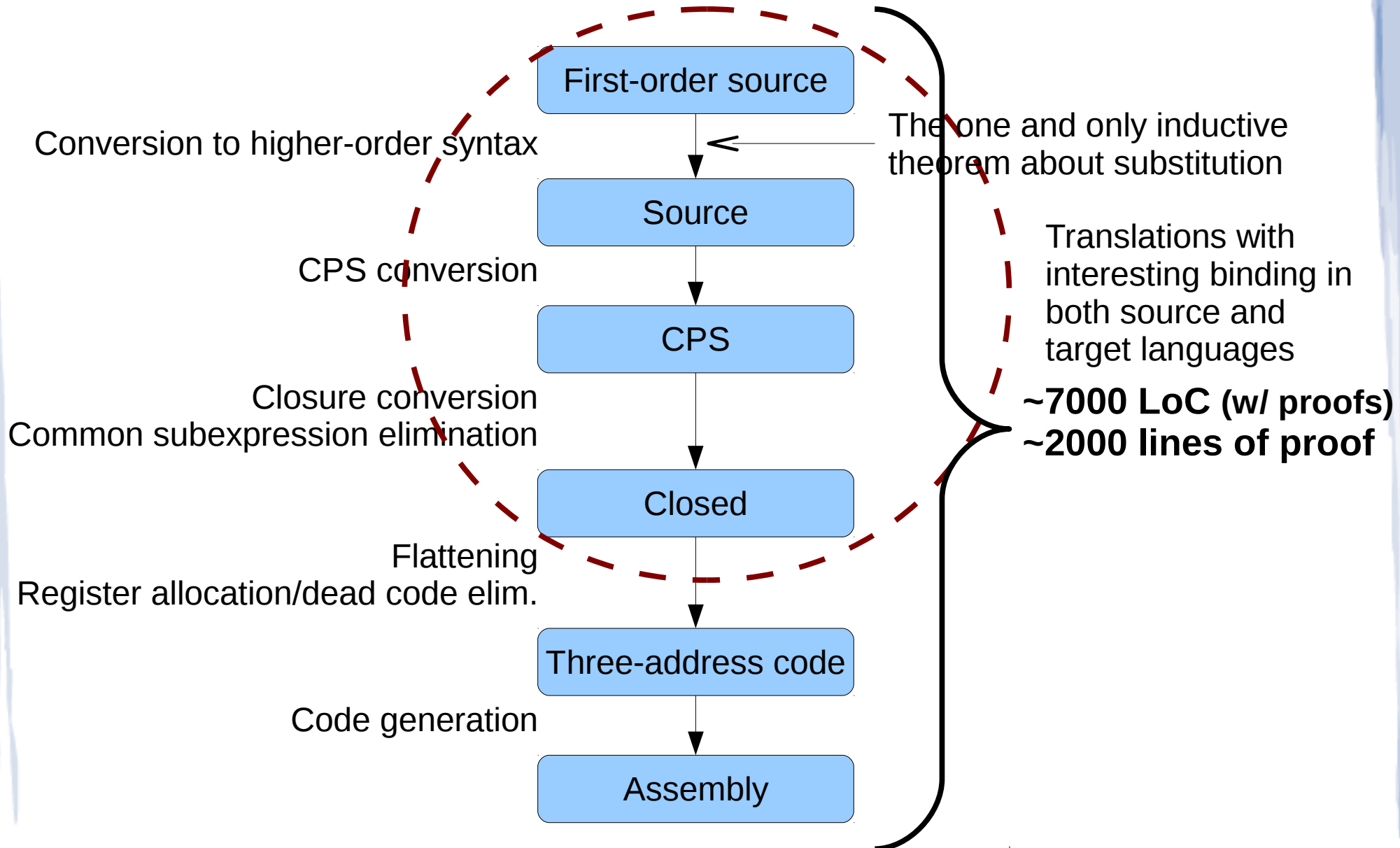
## Target language

*Lvalues*  $L ::= r \mid [r + n] \mid [n]$   
*Rvalues*  $R ::= n \mid r \mid [r + n] \mid [n]$   
*Instructions*  $I ::= L := R \mid L := R == R \mid r += n$   
|  $\mathbf{jnz} R, n$   
*Jumps*  $J ::= \mathbf{halt} \mid \mathbf{fail} \mid \mathbf{jmp} R$   
*Basic blocks*  $B ::= (I^*, J)$   
*Programs*  $P ::= (B^*, B)$

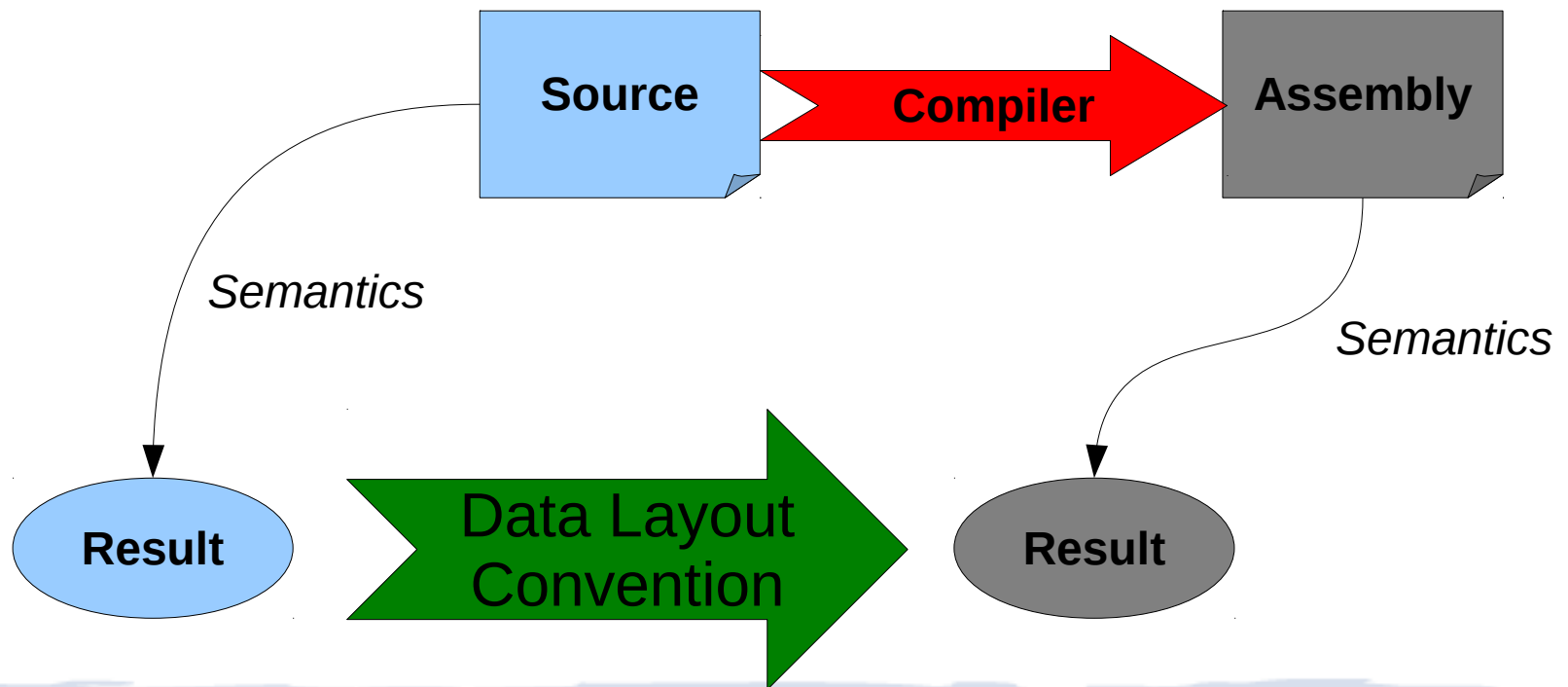
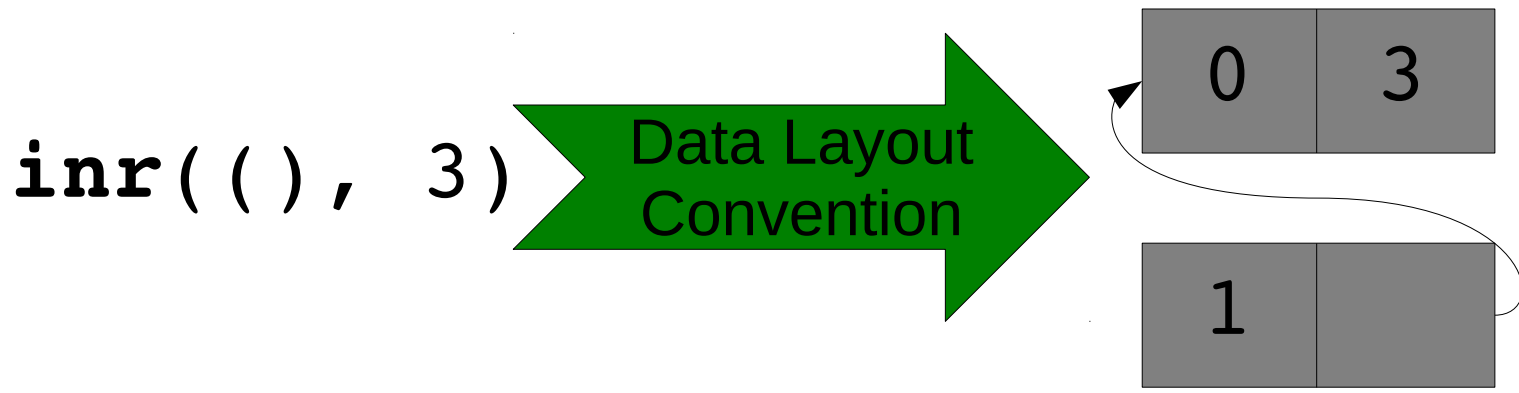
# Two Main Ideas

- It's possible to *encode syntax and semantics* in a way that **avoids all auxiliary operations and lemmas about variables.**
- **Proofs** about this encoding can be **automated** effectively enough that it is not hard to **evolve a compiler and its proof over time.**

# Phase Structure



# Overall Compiler Correctness



# Operational Semantics



To verify **compile**, need to prove:

$$\mathbf{compile}([x/e2]e1) = [x/\mathbf{compile}(e2)]\mathbf{compile}(e1)$$

# Hiding Substitution?

$(\lambda x. x) 1$

Encode

(Higher-Order  
Abstract Syntax)

App (Lam (fn x => x)) (Const 1)

App (Lam f) v  $\Rightarrow$  f(v)

No explicit substitution!

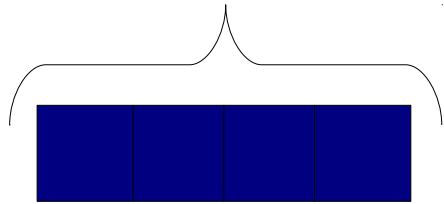


Adding HOAS to general-purpose proof assistants creates **unsoundness!**



# Closure Semantics

Closure Heap



$\lambda x. e1$



$\lambda x. e1$



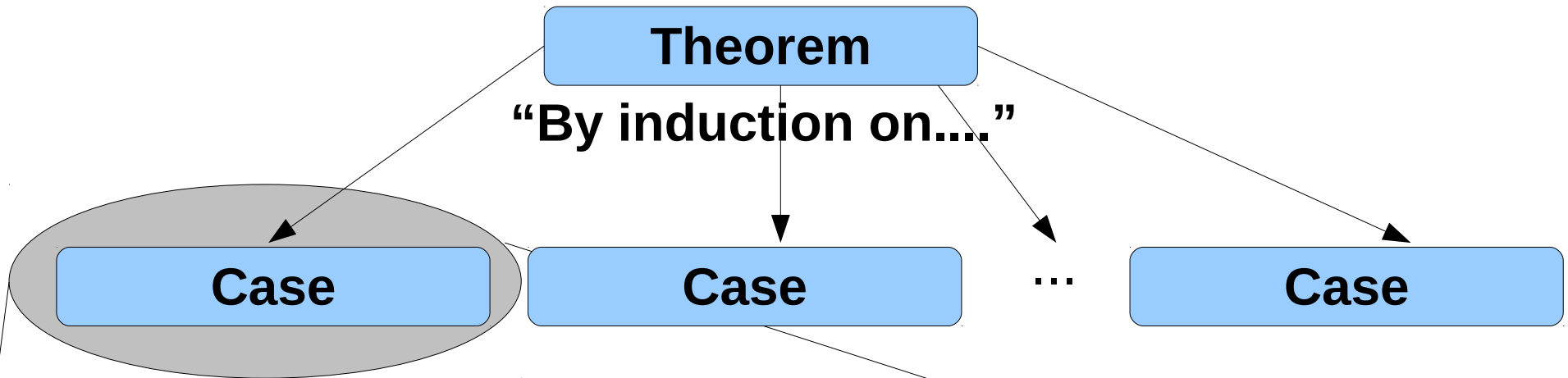
$\#n$

$\#n \ \#m$



$[x/\#m]e1$

# Automating Proofs



- Propositional simplification, partial evaluation, rewriting, ...
  - Perform all useful **inversions** on hypotheses.
  - **Choose IHes to instantiate** with unification variables.
- Finish with **higher-order logic programming** over rules of operational semantics and a few additional lemmas.

# Proof Script Re-use

Lines of code added or changed to add new language features

	Definitions	Theorems & Proofs	Time
<b>let</b>	30	<b>0</b>	½ hour
Constants & =	150	<b>10</b>	½ day
<b>fix</b>	70	350	1 day

Almost all has to do with a new binding pattern, not the semantics of **fix**.

Code available in the latest **Lambda Tamer** distribution:  
<http://ltamer.sourceforge.net/>

# Backup Slides

# Manipulating Binders

Which variables does the new expression mention?  
Are they available in scope?

```
let x = ... in
let y = ... x ... in
[let u = ... x ... in]
let z = ... x ... y ... in
... z ... [u ...]
```

Does the new binding shadow a variable needed here?

# De Bruijn Indices

Exactly which variables does this expression expect?

```
let x = ... in
let y = ... 0 ... in
[let u = ... 1 ... in]
let z = ... 2 ... 01 ... in
... 0 ... [1 ...]
```

Did we adjust this index properly?

# Higher-Order Syntax

**let** ( ... ) (  $\lambda x$ .

**let** ( ...  $x$  ... ) (  $\lambda y$ .

[ **let** ( ...  $x$  ... ) (  $\lambda u$ .

**let** ( ...  $x$  ...  $y$  ... ) (  $\lambda z$ .

...  $z$  ... (  $u$  ... ) ) ) )



# Weak Higher-Order Syntax

**let** (... ) ( $\lambda x$  : **var.**

**let** (... # $x$  ... ) ( $\lambda y$  : **var.**

**let** (... # $x$  ... ) ( $\lambda u$  : **var.**)

**let** (... # $x$  ... # $y$  ... ) ( $\lambda z$ .

... # $z$  ... (# $u$  ...)

# Parametric Higher-Order Syntax

$\forall$  **var**:

**let** (...) ( $\lambda x$  : **var**.

**let** (... # $x$  ...) ( $\lambda y$  : **var**.

**let** (... # $x$  ...) ( $\lambda u$  : **var**.

**let** (... # $x$  ... # $y$  ...) ( $\lambda z$ .

... # $z$  ... # $u$  ...

A piece of syntax is a first-class polymorphic function.